Original Release and Revisions

The Kansas EDI Release I Implementation Guide was originally released on July, 14 2003. This revised version was published on July 1, 2005. To report any errors for correction, please contact the EDI Project Manager, KDWC, 800 SW Jackson, Suite 600, Topeka, KS 66612-1227; telephone number 785.296.4120.

Summary of Changes: (07-01-2005):

Page 51 - FROI Element Requirement Table

MCO designations for the following Data Elements have been changed:

- DN25 Industry Code on the Acquired/Unallocated FROI changed from "M" mandatory to "C" conditional

Page 52 - SROI Element Requirement Table

MCO designations for the following Data Elements have been changed:

- DN55 Number of Dependants on the Full Salary SROI changed from "C" conditional to "N/A" not applicable

- DN55 Number of Dependants on the Compensable Death SROI changed from "O" optional to "N/A" not applicable

- DN86 Payment/Adjustment Paid to Date and DN87 Payment/Adjustment Weekly Amount on the Final (FN) SROI changed from "M" mandatory to "C" conditional

Pages 53, 54, and 55- Data Element Requirements Conditional Statements Table revisions:

- The conditional statements for DN05 Agency Claim Number on the FROI, DN055 Number of Dependents on the FROI, DN056 Date Disability Began on the FROI, DN057 Employee Date of Death on the FROI, have been revised for clarification purposes only, no new conditions have been added.
- The conditional statements for DN061 Wage, and DN062 Wage Period were removed from the "First Report Date Requirement Conditional Statements" and placed into the "Subsequent Report Data Requirement Conditional Statements" where they belong.
- DN055 Number of Dependents on the SROI, DN056 Date Disability Began on the SROI, DN072 Date of Return/Release to Work on the SROI, DN095 Paid To Date/Reduced Earnings/Recoveries Code, and DN096 Paid To Date/Reduced Earnings/Recoveries Amount have been revised for clarification purposes only, no new conditions have been added.

Page 65 – Kansas Transaction Sequencing Requirements (Edit 063) Table revisions:

- Moved the Acquired/Unallocated report (AU) from reject column to the allow column if last submitted FROI was a "00" Original Report of Injury

- Moved the Annual report (AN) from the reject column to the allow column if last submitted FROI was a "04" Denial

- Moved the AU from the allow column to the reject column if last submitted SROI was a "04" Denial

- Moved both the Acquired Payment (AP) and AN reports from reject column to the allow column if the last submitted SROI was a "04" Denial

Pages 67 & 68 - Edit Matrix Table

<u>Edits</u> for the following FROI Data Elements have been changed:

- For DN05 Agency Claim Number Edit 57 "Duplicate Transmission / Transaction" (TR) applies to all FROIs except the 00 Original, 04 Denial, and AU Acquired/Unallocated reports

- For DN07 Insurer Name Edit 30 "Must be Alpha-Numeric (0-9, A-Z)" (TR) removed and Edit 58 Code/ID invalid (TR) defined as 0-9 A/N and the special characters: ' , . # ( ) - & ! @ / \ ; : substituted on all FROI reports (see Edit Table Comments page 75 as well)

- For DN09 TPA Name Edit 30 "Must be Alpha-Numeric (0-9, A-Z)" (TR) removed and Edit 58 Code/ID invalid (TR) defined as 0-9 A/N and the special characters: ' , . # ( ) - & ! @ / \ ; : substituted on all FROI reports (see Edit Table Comments page 75 as well)

- For DN15 Claim Administrator claim number, Edit 100 "No leading and Embedded Spaces" (TE) has been removed from all FROI reports

- For DN18 Employer Name Edit 58 Code/ID invalid 0-9 A/N defined as 0-9 A/N and the special characters: ' , . # ( ) - & ! @ / \ ; : was added on all FROI reports (see Edit Table Comments page 75 as well)

- For DN42 Social Security Number, Edit 39 "No Match on Database" was changed from (TR) to (TE) for all FROIs except for 00 Original, 04 Denial, and AU Acquired/Unallocated

Pages 69, 70, and 71 - Edit Matrix Table

<u>Edits</u> for the following **FROI and SROI** Data Elements have been changed:

- For DN43 Employee Last Name Edit 30 "Must be Alpha-Numeric (0-9, A-Z)" (TR) removed and Edit 58 Code/ID invalid (TR) defined as 0-9 A/N and the special characters: ' , . # ( ) - & ! @ / \ ; : substituted on all FROI reports (see Edit Table Comments page 75 as well)

- For DN44 Employee First Name Edit 30 "Must be Alpha-Numeric (0-9, A-Z)" (TR) removed and Edit 58 Code/ID invalid (TR) defined as 0-9 A/N and the special characters: ' , . # ( ) - & ! @ / \ ; : substituted on all FROI reports (see Edit Table Comments page 75 as well)

- For DN04 Jurisdiction Edit 57 "Duplicate Transmission / Transaction" (TR) has been removed from all SROIs

- For DN06 Insurer FEIN Edit 59 "Value Not Consistent With Previous Report "(TR) applies to all SROIs except for the Correction and Change (02) reports

- For DN08 Third Party Administrator FEIN Edit 59 "Value Not Consistent With Previous Report "(TE) applies to all SROIs except for the Correction and Change (02) reports

- For DN72 Date Return To Work and DN70 Date Maximum Medical Improvement Edit 37 "Must be <= MTC Reason Code Date" was completely removed for both the Initial Payment and Acquired Payment reports

- For DN76 Date of Representation Edit 37 "Must be <= MTC Reason Code Date" was removed for all SROIs

Page 79- FROI and SROI Match Routine

<u>The FROI with Agency Claim Number and SROI **match routines** were **clarified:**</u>

> **If FROI with Agency Claim Number begin Match: [FROI such as Cancel, Denial, AU] Primary:**
> ☐ Agency Claim Number (is the state's primary Unique ID)
> ☐ Claim Administrator Claim Number
> ☐ If Duplicate, Perform Secondary & Third Match else Report Process
> ☐ Match "SSN" and "DOI" to verify Match or Identify duplicates as part of secondary match process

If SROI (all must have Agency Claim Number) begin Match
Primary:
- ☐ Agency Claim Number (is the state's primary Unique ID)
- ☐ Claim Administrator Claim Number
- ☐ If Duplicate, perform Secondary; else Report Process
- ☐ Match "SSN" and "DOI" to verify Match or Identify duplicates as part of secondary match process
- ☐ No third-level match process for SROI reports

Page 103- KDWC Test and Production Process- Exemptions from full FROI/SROI testing has been articulated in the Implementation Guide:

All KDWC trading partners who voluntarily participate in Kansas EDI are scheduled for testing on a first come first served basis and are required to complete the full test program. Trading Partners who do not use an approved data transport method must either secure such services or seek approval of their data transport method in accordance with the technical competency requirements referenced above. Please note that all programming and associated costs will be borne by the Trading Partner and that passing extensive technical and business competency testing is required.

Exemption from Testing: the Division's exemption testing policy is as follows:

- As stated on page 101, Trading Partners who use an approved data transport method with demonstrated competence reporting to another WC jurisdiction using IAIABC EDI Release 1 standards *may* be required to send only one test file to KDWC to validate connectivity.

- If a trading partner is in production with at least one other Release 1 state and using an approved experienced EDI vendor's compliance reporting system (Compliance Reporting System is comprised of data capture, data validation and data transport) in that state and plan to use the same vendor compliance reporting system in Kansas then the trading partner is only required to complete the technical capability testing, and is not required to pass the FROI/SROI business content testing.

- From the vendor's point of view, if they are sending data on behalf of a client in one Release 1 state (in production) and that client wants to do the same for their reporting in Kansas they are only required to complete the technical capability test to establish connectivity (one original FROI including Header Record with Test/Production indicator of T, the "00" Original FROI, and Trailer Record). Vendor's client will not be required to proceed through the FROI/SROI business content testing. Once connectivity has been established client will be moved into production status. **Please note, the Division allows exemption to testing on the assumption that the vendor's testing with each client on data capture, data validation and data transport has built-in checks and balances that render additional testing redundant**. **If this proves not to be the case the Division will require full testing for each vendor client.**

A list of vendors* is available on the Kansas Department of Labor, Workers Compensation EDI web site. The list is comprised of EDI vendors currently submitting workers compensation claim reports in other jurisdictions and who have proven technical and business capability to comply with the Kansas EDI Implementation Guide. This list is for informational purposes only and KDWC does not endorse and/or recommend the services of any one vendor.

Refer to the IAIABC, and other EDI standard setting organizations for additional EDI vendors.

* Please note that WC Reporting Products typically use an approved Data Transport vendor and meet IAIABC electronic record requirements, and varying levels of data editing and compliance with other EDI business rules.

Page 110- Ongoing Monitoring of Production Status (Guidelines for Implementing the 85-15 EDI Quality Compliance Standard) was revised to the following:

The KDWC EDI business content data quality requirement is referred to as either the **85-15 standard**, or the **85-15-80 standard**. Because the 80% portion of the standard refers only to TE corrections (corrections of reports receiving a TE acknowledgment) and not TR replacements (replacements for reports receiving a TR acknowledgment), the standard as it applies to TAs, TEs, or TRs will be referred to as the 85-15 standard.

- A minimum of 85% of a specified set of transactions are accepted with a TA or TE status
- No more than 15 % of a specified set of transactions are rejected with a TR status
- A minimum of 80% of those transactions accepted with a TE status, within the specified set of transactions, have been corrected by submission of a correction transaction (CO) which itself receives a TA status.

For trading partners in production status, the 85-15-80 standard must be met each quarter. Trading partners are defined as an insurance carrier, self-insured employer, group-funded pool, or third party administrator responsible for submitting claim reports electronically to the Kansas Division of Workers Compensation (KDWC).

Procedures for Implementing the 85-15 Standard

(1) Once every quarter, KDWC will use a combination of Claims Harbor management reports, error reports, and division database queries, to monitor trading partner performance against the Kansas quality standard (the 85-15 rule).

(2) The 85-15 standard will be applied by measuring the combined percentage of TA and TE acknowledgments for all transactions over five batches, per trading partner, within the quarterly reporting period context.

(3) Those trading partners that fail to meet the standard will be temporarily classified as being in non-compliance status and will be monitored on a monthly basis until they meet the 85-15 standard.

(4) The 80% correction standard will be applied by measuring how many CO transactions sent in response to TE acknowledgments were received within the time frame established in the Claims Event Table (5 days) during the quarterly reporting period. An alternative method may be used in cases where a pattern is detected that a small percentage of the errors on single transactions are corrected on each of a series of CO transactions submitted in response to the single transaction. In such cases KDWC may measure the number of times the trading partner takes to get a transaction to TA status from the first TE (no matter how many COs it takes). The 80% rule will apply to the ratio of the number of corrections needed, to get a single transaction to a TA, to the number of errors in the initial transaction.

(5) Disciplinary compliance procedures will be invoked if the trading partner repeatedly fails the 85-15-80 standard.

Page (formerly 116) Appendix 1- EDI Vendor List:

- The list of EDI vendors has been removed from the Implementation Guide and is now available only on the Kansas Department of Labor, Workers Compensation EDI web site.

Pages 116-128 Appendix - Transaction Examples:

- There were inaccurate data element requirements on several of the transaction example which have now been corrected.